

**SAN JOAQUIN COUNTY**

# Public Safety Realignment

PHASE 11 IMPLEMENTATION PLAN



**BUDGET YEAR 2023-2024**

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# BACKGROUND

In 2011, the State of California enacted the Public Safety Realignment Act (Assembly Bill 109) to address overcrowding in California’s prisons and assist in alleviating the State’s financial crisis. Later that year, Governor Brown signed a series of legislative bills as part of the State budget that provided funding and made necessary technical changes to implement the Public Safety Realignment Act, which went into effect on October 1, 2011.

AB 109 transferred responsibility for supervising specified low-level inmates and parolees upon release from the California Department of Corrections and Rehabilitation (CDCR) to County Probation in lieu of being supervised by State Parole. Furthermore, any non-violent, non-serious, non-sex offense individuals sentenced after October 1, 2011, are no longer eligible to serve their prison sentence in State Prison and instead must serve it at a County Jail (Penal Code 1170(h)). The third population realigned from state to local responsibility are parolees who are no longer revoked to State Prison; their revocation period is instead served at a County Jail and is capped at 180 days. AB 109 allows counties maximum flexibility in developing interventions and sanctions at the local level.

## The San Joaquin County

### Phase 11 Plan

outlines the budget for programs and strategies that have been put into place in San Joaquin County to address Public Safety Realignment during the 2023-2024 fiscal year.



## LOCAL PLANNING AND OVERSIGHT

As part of AB 109, San Joaquin County Community Corrections Partnership (CCP) oversees the planning and oversight of the realignment process. The San Joaquin County CCP meets on a quarterly basis to discuss standing agenda items, review Pretrial and AB 109 data dashboards, vote on business matters, discuss significant changes that may have occurred since the previous meeting, recommend the local implementation plan of the 2011 Public Safety Realignment Act, approve the yearly AB 109 plans, submit it to the San Joaquin County Board of Supervisors, and oversee its implementation. These meetings are open to the public and minutes are recorded.

### CCP Executive Committee Members

- Ray Bechler, Chief of Police, City of Lathrop
- Greg Diederich, Director, Health Care Services
- Ron Freitas, District Attorney
- Steve Jackson, Chief Probation Officer (Chair)
- Miriam Lyell, Public Defender
- Kelly Placeres, Collaborative Courts Manager (Presiding Judge Designee)
- Michael Tibon, Assistant Sheriff (Presiding Sheriff Designee)

In addition to the Executive Committee Members, CCP meeting participants include the non-voting members and representatives from the Probation Department, Sheriff's Office, District Attorney's Office, Human Services Agency, Employment and Economic Development Department, Behavioral Health Services, Superior Court, Correctional Health Services, San Joaquin Community Data Co-Op, Mary Magdalene Community Services, El Concilio California, Community Partnership for Families of San Joaquin, Friends Outside, Ready to Work, Health Care Services, County Administrator's Office, County Office of Education, Stockton Police Department, State Parole, local legislative representatives, various other public and private agencies, community and faith-based organizations, system involved individuals, and community members at large.

# AB 109 DATA COLLECTION AND EVALUATION

Since the dawn of Public Safety Realignment, the San Joaquin County CCP has always strived to reinforce decision-making with the evaluation of data collected from its partners and other agencies and will continue to do so.

The San Joaquin County CCP adheres to the definition of “recidivism” established in 2014 by the Board of State and Community Corrections (BSCC) as a “conviction of a new felony or misdemeanor committed within three years of release from custody or committed within three years of placement on supervision for a previous criminal conviction”. The San Joaquin County CCP may also review evaluations of other alternative definitions when appropriate, such as those that consider arrests and technical violations. As noted by the BSCC, “committed” refers to the date of offense, not the date of conviction.

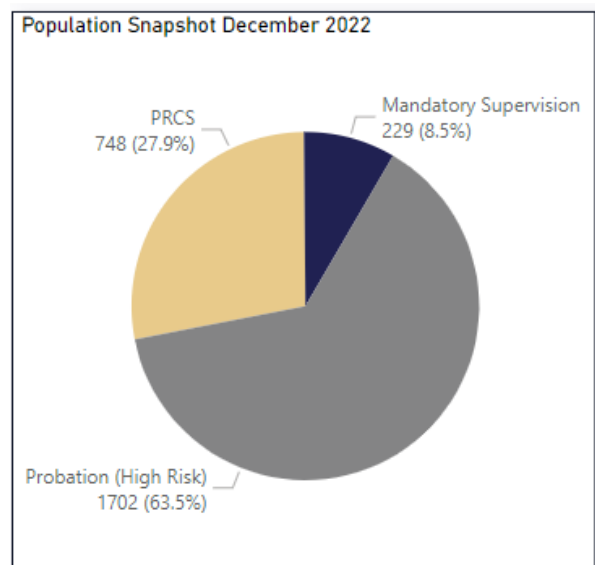
Beginning with the 2016 Annual Evaluation Report, produced by the San Joaquin Community Data Co-Op, San Joaquin County Probation was able to track its official recidivism rate (cohorts tracked for a full three-year period; however, if an arrest occurred during the 3-year period and the conviction was outside of the 3-year period, the conviction was still included). These official recidivism rates can be observed in the “AB 109 Recidivism” section below.

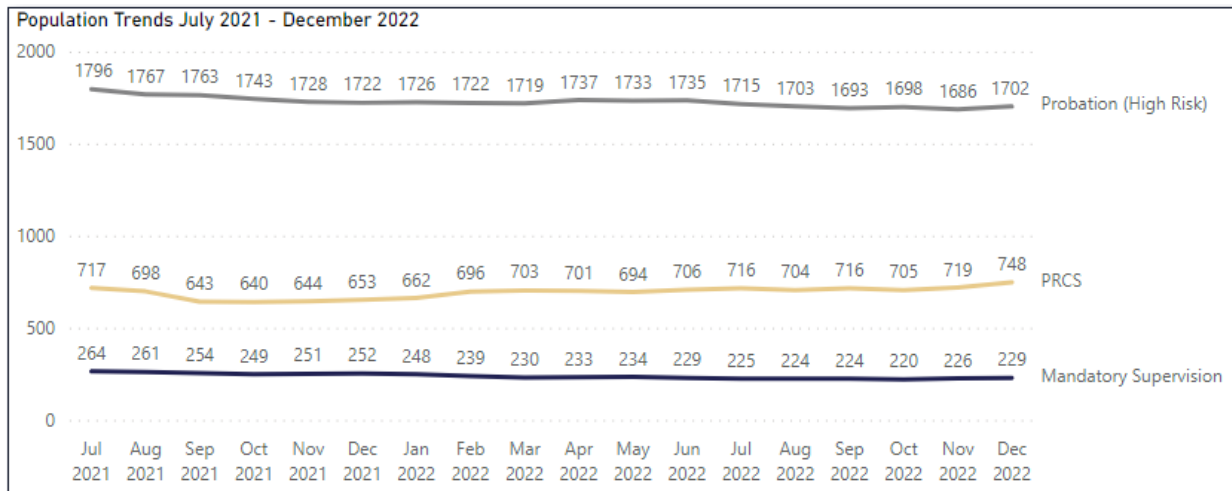
In addition to recidivism, San Joaquin County Probation collects and maintains an abundance of data for the San Joaquin County CCP. This data is maintained and evaluated by San Joaquin Community Data Co-Op and the Probation Research and Evaluation Unit. Data collected includes but is not limited to AB 109 population demographics, AB 109 programs and services, and Pretrial Services.

## OVERALL METRICS

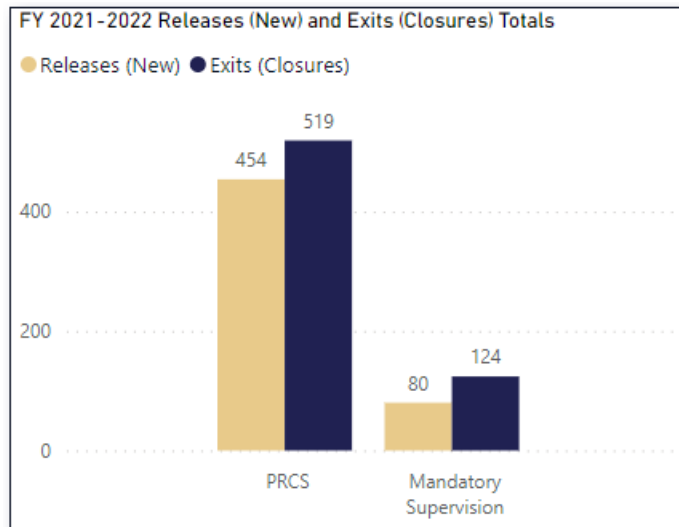
As of December 2022, the number of AB 109 clients, including those with a warrant status, totaled 748 on PRCS and 229 on Mandatory Supervision. The number of individuals on a formal probation grant with a high risk of re-offending totaled 1,702; thus, approximately 36% of the high-risk population is AB 109.

It is worth mentioning that these totals are not mutually exclusive because some individuals are on both AB 109 supervision and a formal grant of Probation. Below is a trend of these three populations from July 2021 through December 2022.



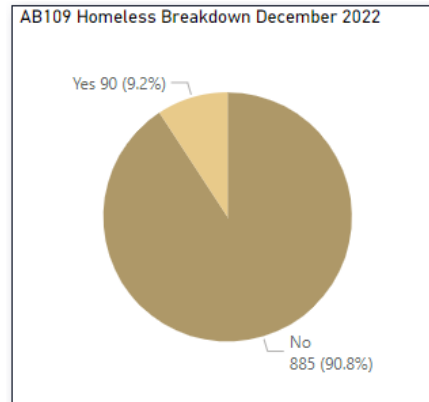
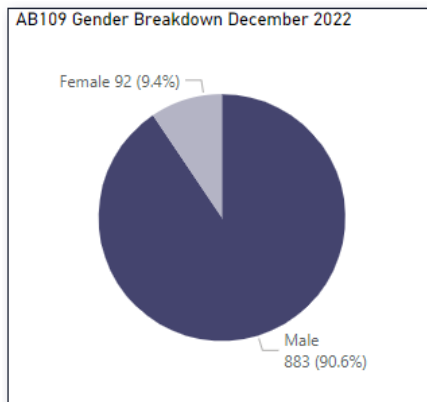


From July 2021 to June 2022, 534 new individuals were placed on AB 109 supervision (released from the CDCR or the County Jail) and 643 individuals ended their supervision (either successfully or unsuccessfully). This breakdown is shown in the figure.

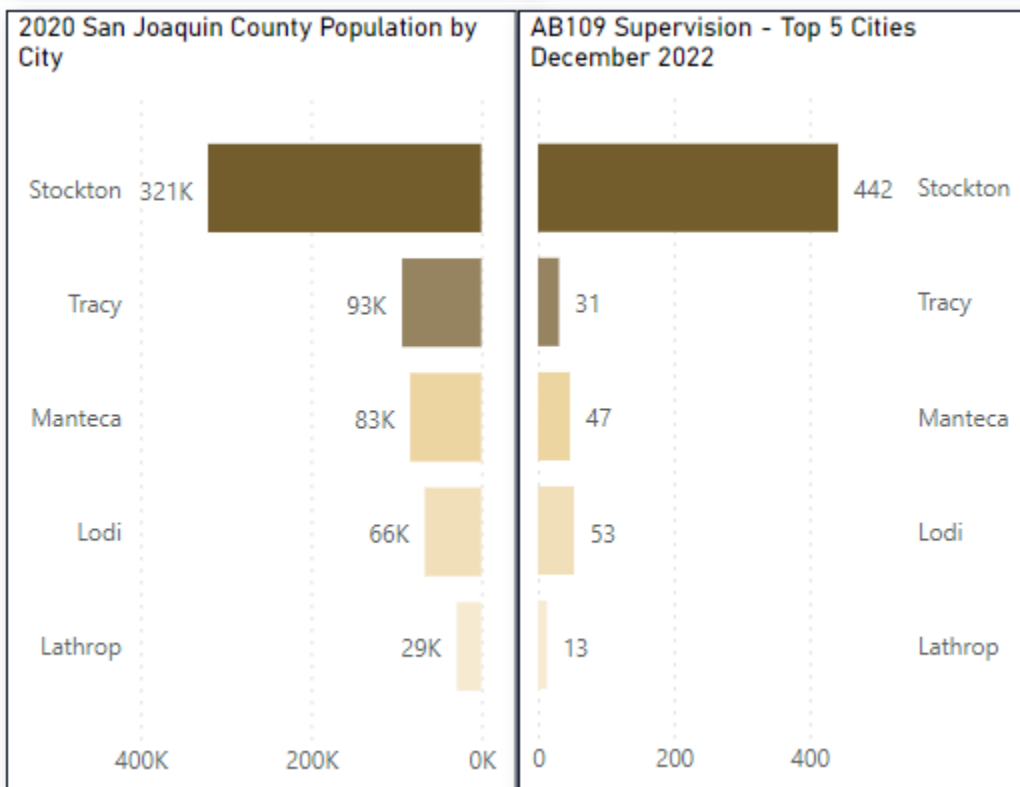
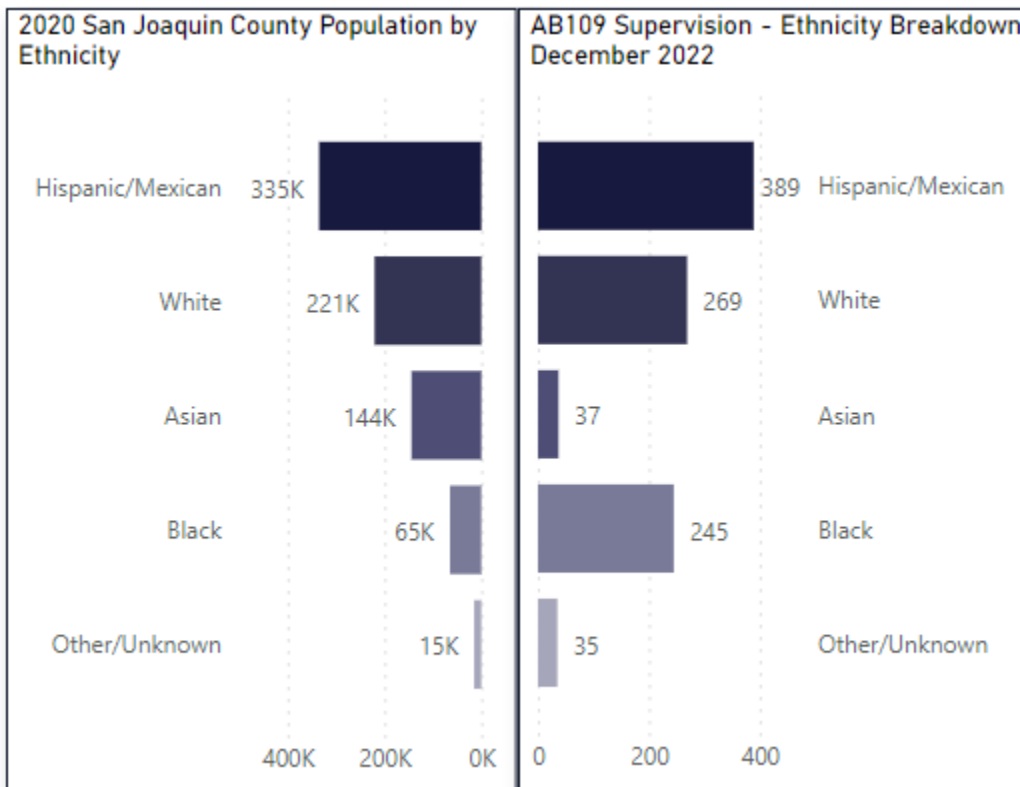


The next set of visuals below describes the demographic makeup of the AB 109 population as of December 2022. The race and city breakdowns are shown next to the official 2020 San Joaquin County Population obtained from the U.S. Census Bureau

(<https://www.census.gov/quickfacts/fact/table/sanjoaquincountycalifornia/POP010220>).

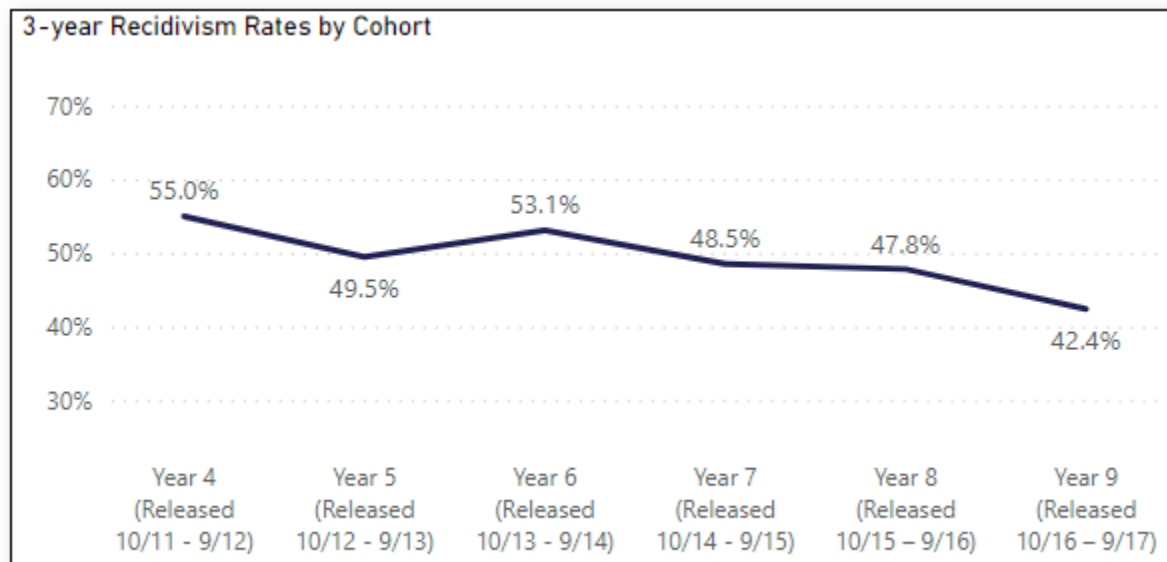


\* **Note:** "Homeless" in the visual above represents unsheltered individuals. According to the 2022 San Joaquin Continuum of Care Point in Time Count Local Report, it was found that 1,355 unsheltered individuals were living in San Joaquin County in January 2022. This is approximately 0.2% of the population.



## AB 109 RECIDIVISM

The official recidivism rates for AB 109 clients in San Joaquin County are shown in the following graph:



\* Note: The official recidivism rate for those released from October 2017 through September 2018 is not included due to conviction data being unavailable. This will be provided when it becomes available.

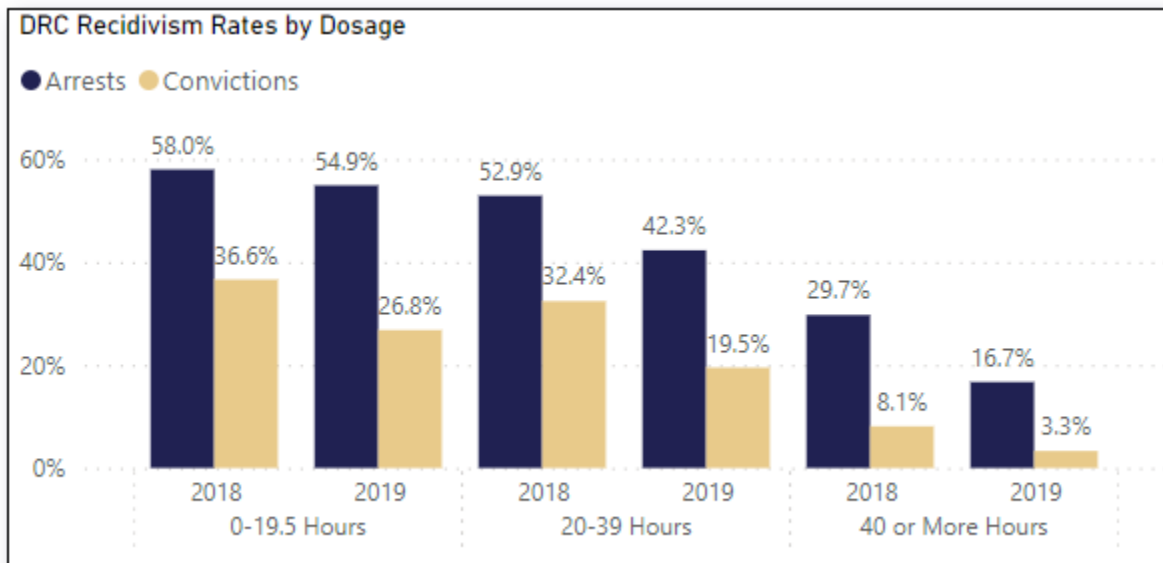
These recidivism rates will remain the primary metric attributed to San Joaquin County's success. Additional recidivism information can be found in San Joaquin Community Data Co-Op's AB 109 Year 10 Evaluation Report (Arrests Only), as well as additional data retrieved from the Collaborative Court programs, Behavioral Health Services, Employment & Economic Development Department, Human Services Agency, and the community-based organizations providing case management to AB 109 clientele.

## DAY REPORTING CENTER

The San Joaquin County Probation Day Reporting Center (DRC) is one of many notable programs provided to AB 109 and non-AB 109 clients alike. The DRC offers evidence-based programming (EBP), education, and maximum supervision services in collaboration with the San Joaquin County Office of Education. In the San Joaquin Community Data Co-Op's 2019 report on DRC Recidivism Analysis by EBP Hours, an evaluation of dosage hours and their effect on recidivism is shown to be strongly correlated in that an increase in EBP dosage showed a decrease in both



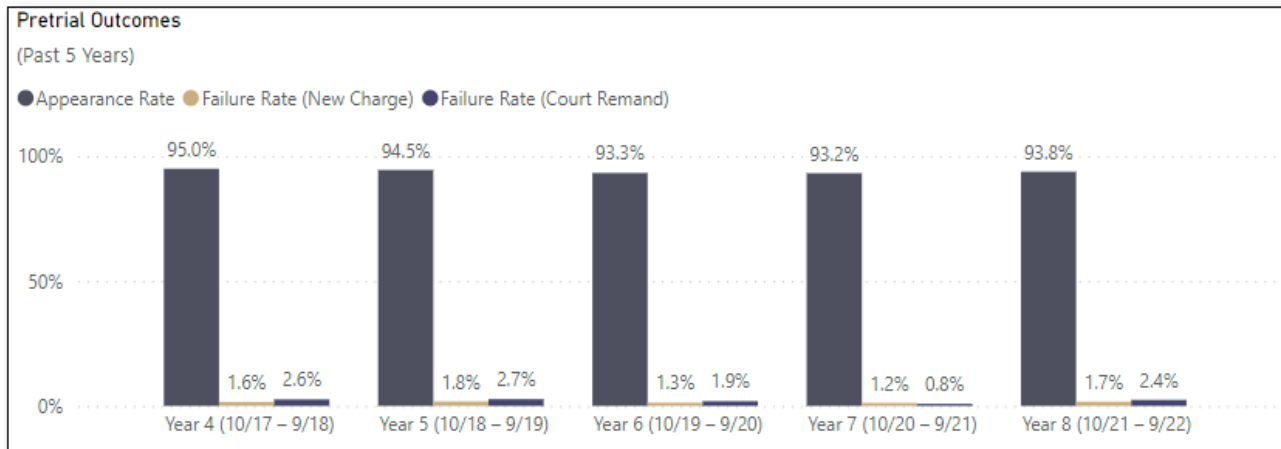
arrests and convictions. In addition, it is evident from the available data that the recidivism rate has improved significantly from the year prior. The visual below demonstrates these findings:



## PRETRIAL SERVICES

Located at the San Joaquin County Jail, the Pretrial Assessment Unit conducts evidence-based assessments using the Virginia Pretrial Risk Assessment Tool (VPRAI) on most defendants booked on new felony charges. The goal of this unit is to screen out low-risk offenders to assist the jail’s population management and decrease risk to public safety. The Pretrial Monitoring Unit implements evidence-based practices in the supervision of those who were released from jail.

The San Joaquin Community Data Co-Op produces a quarterly pretrial dashboard highlighting the impacts of the Pretrial Assessment and Monitoring Program. Additionally, quarterly, and annual reports evaluate the success and outcomes of the Pretrial Assessment and Monitoring Programs. Since these programs were implemented in October 2014, the outcomes have been impressive as the table below reflects:



As shown in the Eighth Annual Pretrial Report by San Joaquin Community Data Co-Op, 5,277 individuals were assessed by the Pretrial Assessment Staff in the eighth year of Pretrial implementation (July 2021 – June 2022). Furthermore, 1,719 individuals were placed on Pretrial Monitoring.

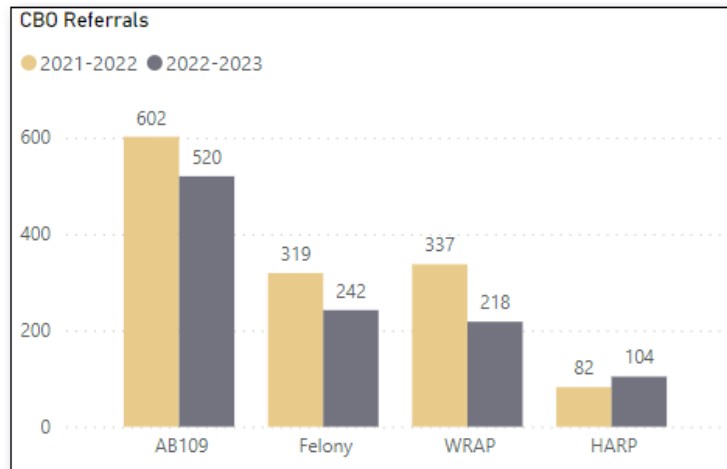
## PROGRAMS AND SERVICES



*In August 2022, Probation Department referred a client to El Concilio California through the AB 109 Re-Entry programs. During intake, the case manager assessed the client's barriers, and acknowledged his difficulties after seven years of incarceration and developed an Individual Service Plan (ISP). The client received help with enrollment in DUI classes, obtaining a social security card and birth certificate, enrollment in Delta College, referral to transitional employment re-investment program, and assistance with clothing and work boots, hygiene, food, and transportation. This client attended CBI classes, NA meetings, and compliance court. El Concilio California was there to support him by collaborating with these programs and advocating on his behalf when needed and requested. At the time of this report, the client is employed, establishing a relationship with his children, and actively engaged in the DUI Program.*

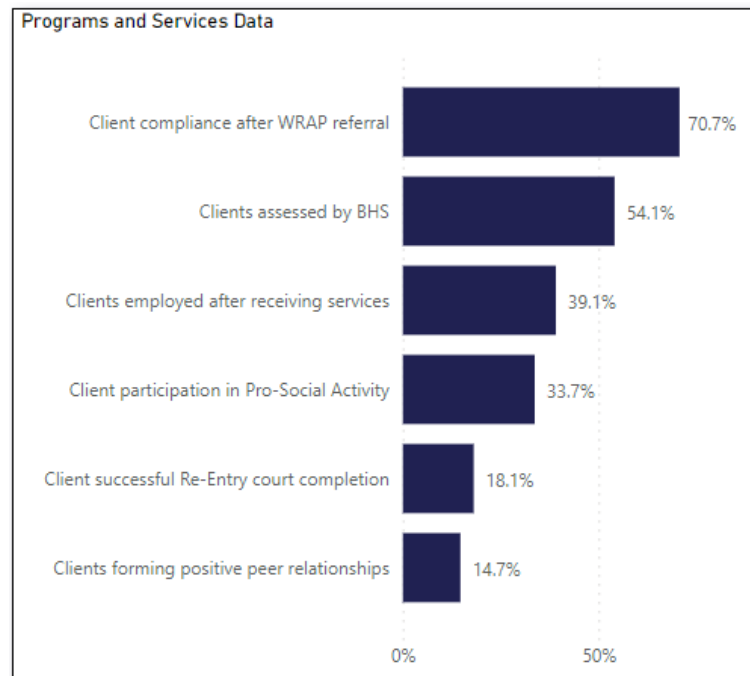
San Joaquin County Probation Department offers a wide range of programs and services to AB 109 clients through partner agencies and contracted community-based organizations. The following list is an example of some of the programs and services offered:

- Assistance with right-to-work documentation such as driver’s license, state identification card, social security card, and birth certificate.
- Wrap-around case management services.
- Job readiness/ workforce development assistance including resume writing, interview skills, on-the-job training, vocational training, and GED/HS diploma.
- Clothing including work clothes and work boots.
- Court liaison
- Housing assistance
- Food security assistance
- Hygiene assistance
- Tattoo removal clinics
- Prosocial community events
- Transportation
- Completing various applications
- Community referrals for assistance with education, employment, health, and legal help
- Counseling services
- DUI classes
- DV classes



(Data for the fiscal year 2022-23 is estimated based on Jul 2022 to Mar 2023.)  
 WRAP -Warrant Reduction Advocacy Program  
 HARP- Homelessness and Recidivism Prevention

The above figure shows the number of clients referred to all the CBOs for case management and other services.



This figure represents data from the fiscal year 2021-2022 and shows the success rate of clients after being referred for programs and services.

# AB 109 SUB-COMMITTEE

In December 2021, the San Joaquin County CCP Executive Committee approved \$1,000,000 to be included in the fiscal year 2022-2023 budget specifically earmarked for the implementation of new programs and services for the AB 109 clients in San Joaquin County. In August 2022 the newly formed AB 109 Sub-Committee studied the State Auditor’s report on realignment spending, discussed and developed a preliminary list of client needs, developed specific programs, tier levels of services needed, reviewed the current AB 109 programs, services available in the county, analyzed the utilization of these services, reviewed their findings, and developed a recommendation which was presented to the Executive Committee in December 2022.

## SUB-COMMITTEE MEMBERS

The AB 109 Sub-Committee included representatives from Probation Department AB 109 division, Health Care Services, Superior Courts, Employment & Economic Development Department, Behavioral Health Services, City of Stockton City Police Department, and representatives from various community-based organizations.

In the fiscal year 2023-2024, the San Joaquin County CCP will continue to implement the findings and recommendations submitted by the AB 109 Sub-Committee, as approved by the San Joaquin County CCP.

### Sub-Committee recommendations:

- Conduct a Request for Information (RFI) to identify potential program and service providers based on the category needs identified below:

#### Categories of identified needs:

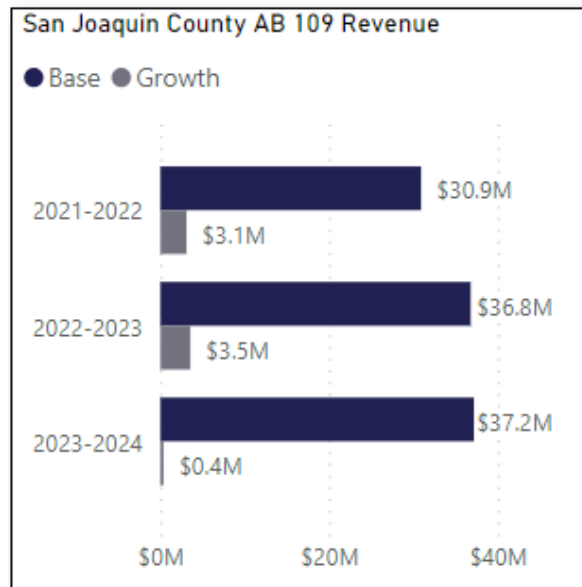


- Provide an opportunity for all AB 109 partnering county agencies to conduct an evaluation/assessment of their current programs and services to identify additional needs and gaps in services.
- Enhance the program analysis and evaluation services.
- Establish work group to review program effectiveness and service utilization.

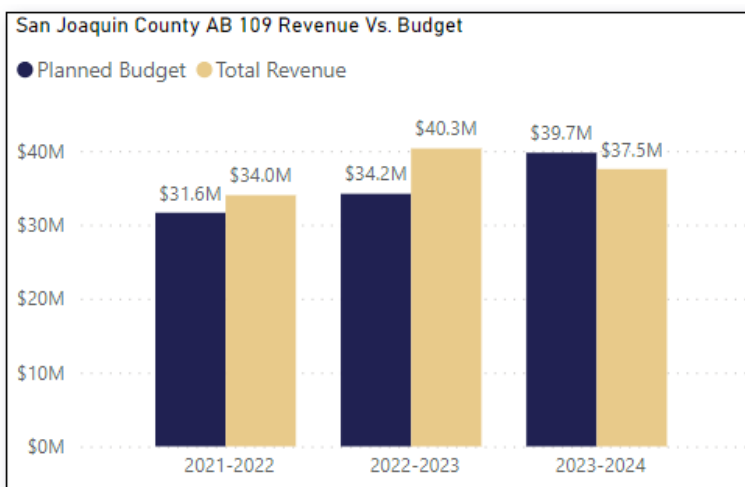
# 2023-2024 SAN JOAQUIN COUNTY FUNDING

San Joaquin County’s estimated AB 109 Funds were contained in the State Budget. The estimated AB109 revenue to be received in 2023-24 includes Base Funds of \$37,166,087 and Expected Growth Funds of \$373,134. As in prior years, San Joaquin County will receive another AB 109 Planning Grant in the amount of \$200,000 for submitting an updated Public Safety Realignment Plan to the BSCC. In years when the San Joaquin County CCP Executive Committee does not allocate all annual revenues, the unexpended funds remain in an interest-bearing trust (reserve fund). In the event of proposed planned expenditures exceeding the anticipated revenue, this overage is reimbursed out of the AB109 reserve fund.

On March 15, 2023, funding for the fiscal year 2023-2024 was approved by the San Joaquin County CCP Executive Committee and was incorporated into each County Department’s budgets during the budget cycle. The proposed budget for the Public



*This figure does not include the \$200,000 received yearly for submitting the Realignment Plan to BSCC*



*This figure does not include the \$200,000 received yearly for submitting the annual Realignment Plan to BSCC*

Safety Realignment for the fiscal year 2023-2024 is \$39,739,615 and includes allocations to each AB 109 partnering County department, the Superior Court, as well as community-based organizations that provide programs and services to the AB 109 clients.

A complete list of planned programs for the fiscal year 2023-2024 is reflected in the chart on the following page.

# AB109 PUBLIC SAFETY REALIGNMENT BUDGET

Programs	2022-2023	2023-2024
Behavioral Health Services	\$1,254,658	\$1,760,650
Community Corrections Partnership Task Force	\$1,356,782	\$1,935,298
Community Partnership for Families (Cal Fresh/E&T)	\$264,593	\$292,428
Community Partnership for Families (Case Management)	\$219,832	\$518,487
Correctional Health Services	\$3,532,402	\$4,401,908
District Attorney (Parole Revocation Process)	\$385,395	\$431,856
El Concilio (AB109 Case Management)	\$332,157	\$648,958
El Concilio (Alternatives to Violence)	\$23,099	\$0
Employment and Economic Development Department	\$856,157	\$911,855
Friends Outside (Case Management)	\$191,352	\$0
Friends Outside (Warrant Reduction Advocacy Program)	\$340,455	\$411,345
Health Care Services (Homeless Initiative)	\$50,000	\$55,000
Human Services Agency (Eligibility Screening)	\$138,074	\$153,675
Human Services Agency (Transitional Housing)	\$296,999	\$312,600
Ink Off Me	\$21,000	\$21,000
Intercept (Transdermal Monitoring)	\$441,891	\$348,750
Mary Magdalene Community Services (Case Management)	\$234,428	\$0
Mary Magdalene Community Services (Felony Probation)	\$215,909	\$614,904
Probation Department (AB109 Admin Services)	\$2,765,478	\$3,045,458
Probation Department (Assessment Center)	\$931,860	\$1,040,568
Probation Department (Community Supervision Unit) Formerly IPU	\$1,728,380	\$1,852,305
Probation Department (Cost Allocation Plan)	\$408,650	\$620,737
Probation Department (Day Reporting Center)	\$737,489	\$697,203
Probation Department (Evidence-Based Programming)	\$184,320	\$215,520
Probation Department (Global Positioning Satellite)	\$32,230	\$16,590
Probation Department (High-Risk Unit)	\$2,061,790	\$2,194,480
Probation Department (Pretrial Assessment and Monitoring)	\$2,651,938	\$2,851,732
Probation Department (Transitional Age Youth)	\$218,031	\$378,457
Probation Department (Transportation)	\$26,000	\$22,000
Probation Department (Violent Crimes Unit)	\$1,792,092	\$1,919,250
Public Defender (Parole Revocation Process)	\$366,059	\$398,665
Public Defender (Uprust)	\$83,000	\$83,000
Ready to Work (Homelessness and Recidivism Prevention)	\$625,748	\$999,999
San Joaquin Community Data Co-Op	\$166,163	\$166,023
Sheriff's Office (AB109 Support)	\$965,403	\$1,117,468
Sheriff's Office (Alternatives to Incarceration)	\$346,777	\$400,754
Sheriff's Office (Bailiffs)	\$284,091	\$341,510
Sheriff's Office (Jail Beds)	\$5,136,477	\$5,840,408
Sheriff's Office (Jail Programming)	\$505,193	\$657,792
Superior Court (Mandatory Supervision Court)	\$66,284	\$63,681
Superior Court (Monitoring Court)	\$888,416	\$884,730
Superior Court (Post Release Supervision Court)	\$1,061,502	\$1,112,571
<b>TOTAL</b>	<b>\$34,188,554</b>	<b>\$39,739,615</b>

## CLOSING SUMMARY

The San Joaquin County Community Corrections Partnership Executive Committee continuously strives to create a balanced plan that focuses on both law enforcement-related activities and re-entry services. At the time of this plan, San Joaquin County CCP is working towards identifying and developing new programs and services to enhance the re-entry program and services available to the AB109.

The plan is intended to improve the success rates of individuals under supervision resulting in reduced recidivism, less victimization, and increased public safety. Accomplishing this in the most cost-effective manner and through data-driven decision-making, while employing proven correctional and justice system evidence-based practices continues to be the Community Corrections Partnership's primary strategic goal.



*(David with case manager Serna and Officer Ortega)*

*David came to Compliance Court homeless and broken. With the help of his Probation Officer and Court case manager, he was placed in a sober living home and received outpatient treatment. David embraced this process of recovery and stayed committed to the program but for his success, he gives credit to Probation Officer Ortega, Judge Eagle, and case manager Serna for their constant motivation and support. He completed his treatment program, overcame a life of homelessness and addiction, attained full-time employment, and is working towards a dental assistant certificate. He plans to become a peer mentor to other clients.*

# Phase 11 Implementation Plan

May 2023

Prepared by :  
San Joaquin County  
Community Corrections Partnership

